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TRANSMITTAL FORM (to be used for all correspondence after initial filing) Total Number of Pages in This Submission	Application Number	09/884,303
	Filing Date	JUNE 19, 2001
	First Named Inventor	GARRY D. GLADSTONE
	Art Unit	3694
	Examiner Name	ELLA COLBERT
	Attorney Docket Number	GLADS-001A

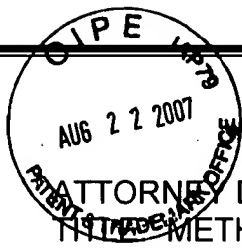
ENCLOSURES (Check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Stetina Brunda Garred & Brucker		
Signature	<i>Eric L. Tanezaki</i>		
Printed name	Eric L. Tanezaki		
Date	8/20/2007	Reg. No.	40,196

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Signature	<i>Mikayla Burns</i>		
Typed or printed name	Mikayla Burns	Date	8/20/2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ATTORNEY DOCKET NO: GLADS-001A
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1. Certificate of Mailing (1 Page);
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3. Response to Office Action (12 Pages); and
4. One (1) Return Postcard

Application No.: 09/884,303
Response to Office Action of June 19, 2007
Attorney Docket: GLADS-001A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Garry D. Gladstone)	Confirmation No.	4813
)		
Serial No.:	09/884,303)	Art Unit:	3694
)		
Filed:	June 19, 2001)	Examiner:	Ella Colbert
)		
For:	METHOD AND SYSTEM FOR)		
	AUTOMATED TRADING OF)		
	FINANCIAL INSTRUMENTS)		

RESPONSE TO OFFICE ACTION

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Madam:

In response to the Office Action of June 19, 2007, please consider the following amendments and remarks as follows: